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Mother wavelet selection using signal energy for cracks detection in the rotation shafts

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Abstract. The mother wavelet greatly influences the wavelet analysis of a non-stationary and nonlinear recorded signal. Choosing mother wavelet must be done to determine cracks in rotating shafts so as to take into account the nature and type of information signals to be extracted from the signal. The difficulty in optimum selection of the mother wavelet is determined by their complex properties that determine different selection criteria. In the paper, several families of functions (Haar, Daubechies, Symlets, Coiflet, BiorSplines) were used for analysis and the proposed selection criterion is the energy dissipated on the frequency bands. Signal recordings were made on a stand to determine the presence of cracks in rotating shafts and their classification. For discrete decomposition of recorded signals (DWT) and the calculation of energy dissipated on the frequency bands the Matlab wavelet instrument was used.

1. Introduction

Wavelets transform (WT) of the recorded signals, which are often nonlinear and non-stationary, is strongly influenced by the choice of the mother wavelet. The choice of mother wavelet for optimum determination of the cracks in the rotation shaft is made in such a way that it takes into account the nature and type of information that must be extracted from the signal. Selection of an optimal mother wavelet is a very difficult topic due to different selection criteria, which can indicate several types of mother wavelet. Mother wavelet functions have different properties in time and frequency, so it is difficult to choose the best wavelet function for extracting defects features from recorded signal.

In the paper to wavelet analysis were used five mother wavelet (Haar, Daubechies, Coiflets, Symlets, Biorthogonal) and their selection criterion is the energy distribution in the frequency bands of the original signal.

To detect faults in rotating machinery, bearings, gears and cracks in shafts are used widely wavelet transform. To detect cracks from rotating shafts, in work [1] Darpe used a "method based on the wavelet transform". "Continuous Wavelet Transform" (CWT) was used in the paper [2] "describing the time and frequency characteristics of cracks in the rotation shaft". The paper [3] presents a "review on the application of the wavelet transform in the diagnosis of rotary machine defects, which include: the time – frequency analysis of signals, the fault feature extraction, the singularity detection for signals, the denoising and extraction of the weak signals, the compression of vibration signals and the system identification". To simulate cracks in rotating shafts and to make measurements, used "PT 500.11 - Crack Detection in the Rotating Shaft Kit" (figures. 1, 2), [4]. Signal recording and storage were

performed with Bruel & Kjaer equipment, LAN-XI Data Acquisition System, type DeltaTron 4506 accelerometer, PULSE software. Accelerometers mounted on the two bearing blocks, in vertical and horizontal direction, were used to record the signals. The shaft, with three operating states (healthy shaft, depths of the crack 16%, 33% and 66%) it is rotated by means of an electric motor at four rotational speeds 600 rpm, 1200 rpm and 2400 rpm [5].



Figure 1.Experimental setup for "shaft with crack" Figure 2.Crack simulation in simulated with elastic rotor [5] rotating shaft kit

Discrete Wavelet Transform (DWT) and Parseval's Theorem is used in this work to study the phenomenon of cracking in the shaft rotation. DWT breaks down the recorded signal into approximations and details by successively passing through low pass filters (LPF) and high pass filters (HPF). By decomposing the signal the information does not change over time, it is distributed at the level of each sub-band. A feature in the optimal evaluation of cracks in the rotation shaft is the energy of the coefficients, calculated according to Parseval's Theorem. The energy used as a feature can be all from the same level of signal decomposition (called the single-level basis solution), or on each level of decomposition, a selection called multiple level basis selection.

2. Discrete Wavelet Transform and Parseval's Theorem

The energy distribution on the component levels of the recorded signal is determined using DWT and Parseval's Theorem. DWT is a multi-resolution method of non-stationary signals recorded on rotary machines. In work [6] Kim used DWT to perform a comparative analysis for detect defects in the vibration signal recorded on rotating mechanical systems. Of the methods of analysis in the domain time - frequency, DWT is the most efficient method for detecting cracks in the rotation shaft during acceleration and deceleration processes. By using the DWT and CWT in the domain time - frequency, Ohue et al. in [7] found that a gear element damaged can be identified by changing the intensity of the wavelet coefficients. For detect and locate gear tooth defects Omar and Gaoanda proposed the use of a dynamic windowing process [8]. DWT was used by Djebala et al. for the detection of defects in rolling bearing [9]. Kumar and Singh used the Symlet wavelet as the wavelet function to perform DWT on the bearing vibration signal for measuring its outer race defect width [10]. For the multi-fault diagnosis of a gear Li et al. they used an integrated method based on DWT, autoregressive (AR) model and principal component analysis (PCA). In the analysis, DWT it was used for denoise the vibration signals [11, 12]. DWT was used by Kwak in the paper [13] for detecting defects in the cutting tool and machine tool failure by analyzing the wavelet coefficients. Extracting a good set of fault-related features from wavelet coefficients helps to identify machine defects in a much effective way. For classification of bearings defects and gearbox with high accuracy and stability, Li et al. used the slope characteristics extracted from slope logarithmic variances calculated from the DWT

coefficients [14]. Yu et al. have extracted a cluster-based feature from DWT coefficients and probabilistic neural networks for bearing fault detection [15]. Using other techniques, the DWT's ability to diagnose mechanics errors has been improved. For example, Castejon et al. developed a method for diagnosing errors in two stages, in the first step used a DWT-based multi-resolution analysis to extract interesting features from the signals, and in the second stage, to classify the defects from bearings in the incipient phase, used the neural network method [16].

Because the translation of the wavelet function depends directly on the scale, the translation and scaling parameters s and τ in dyadic meshing, are expressed by the relations s= 2^{-1} , τ =k 2^{-1} , τ =k 2^{-1} , τ =k 2^{-1} , τ =k 2^{-1} , τ =k 2^{-1} , τ =k 2^{-1} , τ =k 2^{-1} , τ =k 2^{-1} , τ =k 2^{-1} , τ =k 2^{-1} , τ =k 2^{-1} , τ =k 2^{-1} , τ =k 2^{-1} , τ =k 2^{-1} , τ =k 2^{-1} , τ =k 2^{-1} , τ =k 2^{-1} , τ =k 2^{-1} , τ =k 2^{-1} , τ =k 2^{-1} , τ =k 2^{-1} , τ =k 2^{-1} , τ =k 2^{-1} , τ =k 2^{-1} , τ =k 2^{-1} , τ =k 2^{-1} , τ =k 2^{-1} , τ =k 2^{-1} , τ =k 2^{-1} , τ =k 2^{-1} , τ =k 2^{-1} , τ =k 2^{-1} , τ =k 2^{-1} , τ =k 2^{-1} , τ =k 2^{-1} , τ =k 2^{-1} , τ =k 2^{-1} , τ =k 2^{-1} , τ =k 2^{-1} , τ =k 2^{-1} , τ =k 2^{-1} , τ =k 2^{-1} , τ =k 2^{-1} , τ =k 2^{-1} , τ =k 2^{-1} , τ =k 2^{-1} , τ =k 2^{-1} , τ =k 2^{-1} , τ =k 2^{-1} , τ =k 2^{-1} , τ =k 2^{-1} , τ =k 2^{-1} , τ =k 2^{-1} , τ =k 2^{-1} , τ =k 2^{-1} , τ =k 2^{-1} , τ =k 2^{-1} , τ =k 2^{-1} , τ =k 2^{-1} , τ =k 2^{-1} , τ =k 2^{-1} , τ =k 2^{-1} , τ =k 2^{-1} , τ =k 2^{-1} , τ =k 2^{-1} , τ =k 2^{-1} , τ =k 2^{-1} , τ =k 2^{-1} , τ =k 2^{-1} , τ =k 2^{-1} , τ =k 2^{-1} , τ =k 2^{-1} , τ =k 2^{-1} , τ =k 2^{-1} , τ =k 2^{-1} , τ =k 2^{-1} , τ =k 2^{-1} , τ =k 2^{-1} , τ =k 2^{-1} , τ =k 2^{-1} , τ =k 2^{-1} , τ =k 2^{-1} , τ =k 2^{-1} , τ =k 2^{-1} , τ =k 2^{-1} , τ =k 2^{-1} , τ =k 2^{-1} , τ =k 2^{-1} , τ =k 2^{-1} , τ =k 2^{-1} , τ =k 2^{-1} , τ =k 2^{-1} , τ =k 2^{-1} , τ =k 2^{-1} , τ =k 2^{-1} , τ =k 2^{-1} , τ =k 2^{-1} , τ =k 2^{-1} , τ =k 2^{-1} , τ =k 2^{-1} , τ =k 2^{-1} , τ =k 2^{-1} , τ =k 2^{-1} , τ =k 2^{-1} , τ =k 2^{-1} , τ =k 2^{-1} , τ =k 2^{-1} , τ =k 2^{-1} , τ =k 2^{-1} , τ =k 2^{-1}

DWT is a mathematical function:

$$DWT(j,k) = \langle x(t), \psi_{j,k}(t) \rangle = \frac{1}{\sqrt{2j}} \int x(t) \cdot \psi^* \left(\frac{(t-k2^j)}{2^j}\right) dt, \tag{1}$$

where symbol (.) is inner product operation. $\psi_{i,k}(t)$ is a mother wavelet, expressed by:

$$\psi_{j,k}(t) = \frac{1}{\sqrt{2^j}} \psi\left(\frac{t-k2^j}{2^j}\right).$$

Through DWT the recorded signal is decomposed by LPF and HPF filters constructed from the selected wavelet function $\psi(t)$ and the corresponding scaling function $\phi(t)$, given by the relationships [17]:

$$\begin{cases} \phi(t) = \sqrt{2} \sum_{k} h(k) \phi(2t-k) \\ \psi(t) = \sqrt{2} \sum_{k} g(k) \psi(2t-k) \end{cases}, \tag{2}$$

with $\sum_k h(k) = \sqrt{2}$ and $\sum_k g(k) = 0$. The decomposition results, up to a certain level, are the components of the low and high frequency signal:

$$\begin{cases} a_{j,k} = \langle x(t), \phi_{j,k}(t) \rangle \\ d_{j,k} = \langle x(t), \psi_{j,k}(t) \rangle \end{cases}$$
(3)

 $a_{j,k}$ are the approximation coefficients for the low frequency components of the signal, and

 $d_{j,k}$ are the detail coefficients for the high frequency components of the signal.

Figure 4 shows an example of DWT decomposition of a signal recorded for the intact shaft at 2400 rpm. It is observed from the detail components that the activity is greatly reduced once the level of decomposition or the scale increases.

Parseval's Theorem establishes an energy distribution on the different levels of decomposition signal (frequency bands).

By integrating the square of the recording signal, its total energy is obtained, according relationship:

$$E = \int_{-\infty}^{+\infty} x^2 \, dt. \tag{4}$$

According to the multiresolution analysis, the recorded signals are decomposed, using DWT, into components with different frequency bands on each level. After the decomposition, the recorded signal can be obtained as the sum of the low-frequency and high-frequency components

$$x(t) = x_j^a(t) + \sum_{j=1}^l x_j^d(t),$$
(5)

where $x_j^a(t)$ is the approximate information and $x_j^d(t)$ is the detailed information at scale j after the discrete wavelet transform at the j times. Taking into account the property of orthogonality of wavelet and scaling functions, the signal energy is calculated as the sum of the energies of the detail components and the energy of the approximate component at the large-scale

$$E = \int_{-\infty}^{+\infty} [x_j^a(t)]^2 + \int_{-\infty}^{+\infty} [x_j^d(t)]^2 = E_j^a + \sum_{j=1}^{l} E_j^d .$$
(6)

The calculated signal energies at the j frequency bands form a vector [E₁, E₂,... E_j], which can be used to analyze the energy characteristics of recorded signal. This is because that the induced damage may suppress or enhanced the recorded signal at some frequency bands when the recorded signal is input into a system as excitation, so at some frequency bands may be suppressed while that at others may be enhanced. Correspondingly, there is a large difference between the energies calculated before and after the occurrence of the damage, the energy being undergoing redistribution on the frequency bands of the signal components.

3. Mother Wavelet Selection Methods

In WT, mother wavelets are very important in the analysis, they have different shapes depending on the type of application.

Taking into account the diversity of mother wavelets, it is the problem of selecting them, in order to obtain the most accurate results of the various analyzes, which means a good correlation between the signal and the mother wavelet.

From the category of wavelet families, the work uses the following: - Haar, Daubechies, Coiflet and Symlets orthogonal wavelets with FIR filters that are defined by a scaling coefficient.

- Biorthogonal wavelets with FIR filters defined by the two scaling coefficients, for reconstruction and decomposition respectively.

Haar wavelet is orthogonal, symmetrical and compact.

Daubechies wavelet is orthogonal, asymmetrical, introduces a phase distortion, without an explicit expression.

Coiflet wavelet is orthogonal, near symmetrical, compact support, regularity.

Symlet wavelet is orthogonal, near symmetrical, compact support and regularity.

Biortogonal wavelet is orthogonal, symmetrical, compact support and regularity.

As an application for detecting cracks in rotating shafts, the accuracy of the classification, for different operating conditions, depends on the decomposition level of the signal and the type of mother wavelet used for the analysis.

About thirteen families of mother wavelets are known, and their use will lead to different results for the same wavelet analysis. The use of a particular mother wavelet depends on their properties and the type of analysis performed.

A first selection of mother wavelet is based on a qualitative approach to the properties. The following properties of mother wavelet are known: regularity, compact support, symmetry, vanishing moment, orthogonality, explicit expression.

Because the methods in the first category are difficult to use in the analysis of non-stationary signals, a quantitative approach to the methods of classification of the mother wavelet is recently used. There are several methods used of which we emphasize the most used: Shannon entropy [18], maximum energy to entropy ration [19], maximum cross correlation coefficient [20], distribution error [21], minimum description length [22], variances [23], genetic algorithm [24], energy of the wavelet coefficients [25], vanishing moment, shift variance and regularity [26].

The methods of selection of mother wavelet based on qualitative and quantitative approaches have been proposed and used in different fields, such as: analyzing power system transients, biomedical, engineering acoustic emission, denoise vibration signals, machines rotation fault detection, compression of power distribution data, partial discharge signal detection and extraction, denoising of ECG signal, image denoising, automatic ultrasonic non-destructive foreign body detection and classification, etc.

In principle, the methods presented for selecting mother wavelet consider that the wavelet coefficients reflect the similarity between the signal and the corresponding mother wavelet. The conclusion of the study is that certain mother wavelets lead to some performance results in the analysis of cracks in the rotating shafts.

4. Results

In order to evaluate the presence of cracks in the rotation shaft, the following steps are gone in this paper, using the stand presented in paragraph 1, as follows:

1. Shaft preparation in four operating situations: healthy shaft, crack simulated in the incipient phase of 16%, intermediate phase of 33% and an advanced phase of 66%;

2. Measurement of signals in two bearings, in vertical and horizontal direction at each bearing, at three operating speeds 600 rpm, 1200 rpm and 2400 rpm of the shaft, using Bruel & Kjaer equipment;

3. The processing of the signals recorded with DWT, using five mother wavelets from MATLAB, for decomposition and calculation of the energy associated with each level of decomposition, used to accurately diagnose cracks in the rotation shaft.

In general, it is heavy to find recommendations in the literature as to which function is the best for such analyses, but the change of the wavelet functions will certainly have an impact on the energy distribution of the decomposed signal energy. The signal is characterized by energy, which represents a quantitative measure of it. The signal energy can be calculated by the coefficients of the signal wavelet transform for each frequency band (6). The energetic content of each frequency band of the signal is a characteristic of the state of the rotation shaft, because it is directly related to the presence of the crack or not.

In this paper, the energy characteristics associated with the different levels of the frequency components of the signals, realized with DWT, accept modifications on the respective frequency bands, where the characteristics of the signal are concentrated. If there are increases in a frequency component of the signal corresponding to a certain scale, the corresponding wave coefficients extracted from the signal will have larger values and the energy content will be higher. This is evident from table 1.

Wavelet	Healthy	Crack 66%
Sym2 (level 6)	Ea = 2.7079	Ea = 11.7145
• • •	Ed = 18.8891 28.0772 30.2439	Ed = 19.0446 19.2770 30.1308 13.9261
	13.6161 4.7488 1.7170	3.5093 2.3977
Sym3 (level 6)	Ea = 2.6487	Ea = 11.9811
	Ed = 17.6535 28.5610 31.0429	Ed = 18.5386 18.5100 31.5497 13.9310
	13.9831 4.5086 1.6022	3.2818 2.2079
Sym4 (level 6)	Ea = 2.6163	Ea = 12.0171
	Ed = 16.9198 29.0814 31.3643	Ed = 18.4562 18.0231 32.4325 13.7546
	14.1335 4.2879 1.5969	3.1743 2.1421
Sym5 (level 6)	Ea = 2.6182	Ea = 12.1331
	Ed = 16.5586 29.3849 31.4356	Ed = 18.3644 17.7294 33.1277 13.4184
	14.2898 4.0781 1.6349	3.1362 2.0908
Sym6 (level 6)	Ea = 2.6041	Ea = 12.1909
	Ed = 16.3454 29.4733 31.6273	Ed = 18.3421 17.4739 33.4797 13.3995
	14.3110 4.0257 1.6132	3.0536 2.0603
Sym7 (level 6)	Ea = 2.6000	Ea = 12.1704
	Ed = 16.2413 29.6162 31.6643	Ed = 18.2755 17.5320 33.9135 13.0047
	14.3178 3.8756 1.6848	3.0315 2.0724
Sym8 (level 6)	Ea = 2.5954	Ea = 12.2538
	Ed = 16.1006 29.8728 31.5526	Ed = 18.3013 17.4918 33.9989 12.9569
	14.3849 3.8634 1.6304	2.9858 2.0115
Haar (level 6)	Ea = 2.8763	Ea = 10.8458
	Ed = 22.4270 27.9252 27.7888	Ed = 21.2853 21.2244 26.7027 12.8018
	12.0087 5.0934 1.8805	4.0479 3.0921
Db2 (level 6)	Ea = 2.7079	Ea = 11.7145
	Ed =18.8891 28.0772 30.2439	Ed = 19.0446 19.2770 30.1308 13.9261
	13.6161 4.7488 1.7170	3.5093 2.3977
Db3 (level 6)	Ea = 2.6487	Ea = 11.981
	Ed = 17.6535 28.5610 31.0429	Ed = 18.5386 18.5100 31.5497 13.9310
	13.9831 4.5086 1.6022	3.2818 2.2079
Db4 (level 6)	Ea = 2.6312	Ea = 12.0753
	Ed = 17.0050 29.0207 31.1952	Ed = 18.3832 17.9981 32.4051 13.8200
	14.2044 4.2723 1.6713	3.1473 2.1710

Table 1. Energy distribution by decomposition levels, using Haar, Db, Sym, Coif, Bior wavelets,bearing 1, vertical measurement direction, 2400 rpm

Db5 (level 6)	$F_{0} = 2.6182$	$E_{0} = 12,1062$
D05 (level 0)	Ed = 2.0102 Ed = 16,5002, 20,2442, 21,5917	$E_{1} = 12.1002$ $E_{1} = 12.2527 - 17.6409 - 22.1679 - 12.4722$
	Ed = 10.3992 29.2442 31.3817	Ed = 18.5557 - 17.0408 - 55.1078 - 15.4725
	14.2252 4.0673 1.6643	3.134/ 2.1245
Db 6 (level 6)	Ea = 2.6125	Ea = 12.1262
	Ed =16.3415 29.4923 31.5795	Ed = 18.3552 17.5744 33.4501 13.3592
	14.3451 4.0271 1.6020	3.0736 2.0613
Db7 (level 6)	Ea = 2.6103	Ea = 12.0975
	Ed = 16.1893 29.7503 31.6009	Ed = 18.3373 17.5561 33.8881 13.0827
	14.2805 3.9221 1.6467	3.0026 2.0357
Db8 (level 6)	$F_{2} = 2.5974$	$F_{2} = 12.0701$
	Ed = 2.5974 Ed = 16,1050, 20,8280, 21,5070	Ed = 12.0701 Ed = 19.2055 - 17.4070 - 24.1125 - 12.0022
	$Ed = 10.1039 \ 29.8280 \ 51.3970$	Eu = 16.5055 17.4079 54.1155 12.9922
D10 (1 1 ()	14.4199 5.7070 1.0845	5.0624 2.0485
Db9 (level 6)	Ea = 2.5865	Ea = 12.1195
	Ed = 16.0512 29.8600 31.7221	Ed = 18.2782 17.4535 34.4691 12.6659
	14.3762 3.7726 1.6313	2.9861 2.0278
Db10 (level 6)	Ea = 2.5830	Ea = 12.2073
	Ed = 16.0002 30.0043 31.6229	Ed = 18.2660 17.4830 34.3695 12.7473
	14.4052 3.7567 1.6278	2.9526 1.9742
Coif1 (level 6)	$E_a = 2.6951$	$E_a = 11.7781$
	Ed = 187093 - 282940 - 303274	Ed = 19.0324 19.2910 30.2226 13.8274
	135747 47645 16351	3 4700 2 3606
Caif (laval 6)	$E_0 = 2.6265$	5.4790 = 2.5090
Coll2 (level 6)	Ea = 2.0203	Ea = 12.1043
	Ed = 16.8355 29.1516 31.2626	$Ed = 18.4091 \ 1/.9152 \ 32.4844 \ 13./639$
	14.2299 4.2806 1.6131	3.1387 2.1243
Coif3 (level 6)	Ea = 2.6155	Ea = 12.1091
	Ed =16.2854 29.6480 31.5778	Ed = 18.3413 17.5825 33.6942 13.1909
	14.2526 3.9729 1.6478	3.0289 2.0531
Coif4 (level 6)	Ea = 2.5793	Ea = 12.1405
	Ed = 16.0601 29.9294 31.5725	Ed = 18.3073 17.4734 34.1941 12.8409
	14.4383 3.7441 1.6762	3.0359 2.0080
Coif5 (level 6)	Ea = 2.5846	Ea = 12.2063
· · · ·	Ed = 15.9497 30.0840 31.6566	Ed = 18.2766 17.4182 34.6974 12.4292
	14.4274 3.6123 1.6854	2.9918 1.9805
Bior1.1 (level 6)	Ea = 2.8763	Ea = 10.8458
()	Ed = 22.4270 27.9252 27.7888	Ed = 21,2853,21,2244,26,7027,12,8018
	12 0087 5 0934 1 8805	4 0479 3 0921
Bior1 2 (lavel 6)	$F_0 = 2.4676$	$F_0 = 114000$
DI0[1.5 (level 0)	Ea = 2.4070 Ed = 20.2009 = 29.9525 = 20.0140	$E_{a} = 11.4070$ $E_{a} = 10.0260 - 20.7422 - 20.1584 - 12.2245$
	Ed = 20.3098 28.8323 29.0140	Ed = 19.0369 20.7422 29.1384 13.2243
	12.859/ 4.2943 1.6014	3.5579 2.8710
Bior1.5 (level 6)	Ea = 2.3487	Ea = 11.4935
	Ed = 19.3415 29.5974 30.0767	Ed = 18.1963 20.7581 30.4035 12.7909
	13.0584 3.9933 1.5841	3.4979 2.8597
Bior2.2 (level 6)	Ea = 3.5254	Ea = 12.9553
	Ed = 9.2578 17.8310 38.2466	Ed = 9.6586 11.6838 32.7780 22.5184
	17.0815 10.1610 3.8968	6.8170 3.5888
Bior2.4 (level 6)	Ea= 2.7325	Ea = 13.4341
· · · · · ·	Ed = 9.8973 19.9655 40.4889	Ed = 10.0476 11.9521 34.6989 22.1663
	16.8104 7.6781 2.4272	5.2766 2.4245
Bior? 6 (level 6)	$F_{2} = 2.6057$	$F_{2} = 13,7706$
	Ed = 9.9947 21.0594 40.7155	Fd = 10.1495 12.3380 35.4544 21.0431
	165171 68682 2204	
$D_{1}^{2} = 20(1 - 10)$	10.31/1 0.0003 2.2394	(-1.777) (-2.277)
BIOT2.8 (level 6)	Ea = 2.5345	Ea = 15.903 /
	Ed = 9.9722 21.5181 41.0182	Ed = 10.2072 12.5424 36.4274 19.8399
	16.2563 6.4467 2.2341	4.7986 2.2809
Bior3.1 (level 6)	Ea = 35.8338	Ea = 37.0660

	Ed = 1.0048 2.6320 14.0626	Ed = 1.2551 2.2293 13.1754 12.0532
	8.0576 20.5449 17.8643	18.0481 16.1730
Bior3.3 (level 6)	Ea = 6.0304	Ea = 11.9876
	Ed = 3.5497 8.8406 38.9334	Ed = 3.9817 5.7563 32.0715 28.8763
	17.3025 16.8710 8.4725	11.1152 6.2113
Bior3.5 (level 6)	Ea = 2.8396	Ea = 12.0382
	Ed = 4.6610 11.7934 46.4766	Ed = 4.8219 6.5766 35.7598 30.0214
	18.3662 11.8726 3.9905	7.8267 2.9553
Bior3.7 (level 6)	Ea = 2.4452	Ea = 12.5551
	Ed = 5.0521 13.0203 48.5583	Ed = 5.0973 6.8491 37.2344 28.8436
	17.7560 10.1086 3.0595	7.0911 2.3294
Bior3.9 (level 6)	Ea = 2.3902	Ea = 12.9511
	Ed = 5.2336 13.7792 48.9849	Ed = 5.2637 7.1145 37.6616 27.8921
	17.4526 9.3121 2.8473	6.8874 2.2295
Bior4.4 (level 6)	Ea = 2.6486	Ea = 11.4648
	Ed = 17.4232 25.7044 33.5425	Ed = 19.6150 15.6994 32.0212 15.8176
	14.1132 4.8931 1.6749	3.4687 1.9134
Bior5.5 (level 6)	Ea = 2.5251	Ea = 9.1910
	Ed = 27.4818 29.3294 25.4712	Ed = 32.1011 18.1207 26.7523 10.2022
	11.0393 3.0088 1.1444	2.1549 1.4777
Bior6.8 (level 6)	Ea = 2.6429	Ea =12.6835
	Ed = 15.6140 26.6300 34.0241	Ed =17.6980 15.3398 33.9881 14.8620
	14.7497 4.5592 1.7802	3.4332 1.9955

Table 1 shows the percentage distribution of energy on different frequency bands, using 37 mother wavelets (haar, db2-db10, coif1-coif5, sym2-sym8 and bior1.1-bior6.8). Of all the analyzed cases, in the paper, the following operating conditions were considered: the accelerometer is positioned in the vertical direction in bearing one (figure 1), state the healthy shaft and with 66% crack, the shaft speed being 2400 rpm.



The recorded signals were decomposed on six levels using DWT, for which the approximation coefficients a_6 and the detail coefficients d_1 , d_2 , d_3 , d_4 , d_5 and d_6 were obtained. Using the *wenergy* function from MATLAB to determine the energy distribution on each level (frequency band) of the

signal, the presence of cracks in the rotation shaft was identified. The distribution of energy levels using *sym6* wavelet function is represented in figure 3.

In all cases of use of orthogonal mother wavelet functions, for the intact tree, the signal energy is concentrated on the high frequency decomposition levels E_{dl} , E_{d2} and E_{d3} (approximately 75%). It is also observed that the energy, for cracked shafts, is redistributed on lower levels of signal decomposition (E_a reaches from 2% to 12%). From the use of orthogonal mother wavelets it can be seen that some variations between the energy levels are same (Ed2 reaches from 29% to 17% for cracked shaft), which means that they are recommended for diagnosis of cracks in the rotation shafts (except Haar wavelet) (figure 4).



Figure 4. Energy distribution diagram of healthy shaft and crack shaft using sym6 wavelet

Of the biorthogonal wavelet functions only *bior4.4* and *bior6.8* have redistributions similar to the orthogonal functions, in addition the others have decreasing variations on the 3rd frequency band (E_{d3}) , and all have an increase on the low frequency band E_a .

In tables 2 and 3 are presented examples looking influence on the accuracy of the results, of the measuring point position (bearing 1 or bearing 2), measurement direction (vertically or horizontal), the condition of the shaft with the intermediate cracks (16%, 33% and 66%) and different speeds for the shaft (600 rpm, 1200 rpm and 2400 rpm).

Vertically direction			
Sym6 (level 6)	Bearing 1 (channel 2)	Bearing 2 (channel 3)	RPM
Healthy	Ea = 0.5243	Ea = 0.0667	600rpm
	Ed = 12.4836 28.3266 21.0977	Ed = 30.3147 53.6964 5.0422	
	19.8910 15.5373 2.1395	4.8233 5.5123 0.5444	
	Ea = 1.1715	Ea = 0.1782	1200rpm
	Ed = 14.9350 32.2342 30.7813	Ed = 42.7567 48.4268 4.8395	
	14.2274 5.2754 1.3752	2.2909 1.1316 0.3764	
	Ea = 2.6041	Ea = 0.1256	2400rpm
	Ed = 16.3454 29.4733 31.6273	Ed = 45.9964 47.3796 4.5115	
	14.3110 4.0257 1.6132	1.1488 0.5277 0.3104	
Crack 16%	Ea = 0.3424	Ea = 0.0550	600rpm
	Ed = 10.5937 23.6031 33.2985	Ed = 37.8038 50.0591 6.8680	
	15.8923 14.2437 2.0263	2.3798 2.5304 0.3039	
	Ea = 0.8996	Ea = 0.1186	1200rpm
	Ed = 11.4858 29.6356 33.6638	Ed = 38.4436 50.6974 5.9324	
	15.7774 7.7186 0.8192	2.8681 1.7530 0.1869	
	Ea = 3.6087	Ea = 0.1448	2400rpm
	Ed = 13.9961 27.3231 33.2146	Ed = 43.0245 50.2342 4.8481	
	15.5599 4.5317 1.7659	1.0464 0.4955 0.2065	
Crack 33%	Ea = 0.3794	Ea = 0.0434	600rpm

Table 2.

	Ed = 13.4981 31.2572 30.6373	Ed = 33.2259 54.8752 6.6820	
	13.0282 9.7596 1.4403	2.3716 2.5217 0.2803	
	Ea = 1.9432	Ea = 0.1969	1200rpm
	Ed = 12.3036 32.6857 32.8658	Ed = 37.3893 51.4728 6.3834	
	13.1559 6.1981 0.8477	2.7296 1.6199 0.2081	
	Ea = 6.8933	Ea = 0.2392	2400rpm
	Ed = 12.4246 29.6137 32.9345	Ed = 38.4749 54.0475 5.5387	
	12.6907 3.6927 1.7505	1.0763 0.4508 0.1726	
Crack 66%	Ea = 0.8781	Ea = 0.0740	600rpm
	Ed = 16.4028 24.0940 31.8196	Ed = 30.4103 55.0416 6.1347	
	12.8958 11.9284 1.9815	3.7235 4.2223 0.3936	
	Ea = 3.6406	Ea = 0.3049	1200rpm
	Ed = 16.7156 29.2970 34.2937	Ed = 37.2893 52.4191 5.8979	_
	11.0638 4.0814 0.9079	2.5030 1.3808 0.2051	
	Ea = 12.1909	Ea = 1.0137	2400rpm
	Ed = 18.3421 17.4739 33.4797	Ed = 36.0831 55.7215 5.2467	
	13.3995 3.0536 2.0603	1.0208 0.5540 0.3602	

Table 3	3.
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Horizontal direction			
Sym6 (level 6)	Bearing 1 (channel 2)	Bearing 2 (channel 3)	RPM
Healthy	Ea = 8.7733	Ea = 0.4359	600rpm
-	Ed =13.0208 26.5098 22.8175	Ed = 27.8674 36.9514 20.2941	_
	16.8328 9.9481 2.0977	8.4622 5.3505 0.6386	
	Ea = 11.8496	Ea = 1.4286	1200rpm
	Ed = 13.7266 32.7663 23.6637	Ed = 29.5587 36.2749 20.5808	_
	12.7319 3.5608 1.7012	8.2299 2.9412 0.9859	
	Ea = 12.1909	Ea = 0.5063	2400rpm
	Ed = 18.3421 17.4739 33.4797	Ed = 33.1121 44.7731 17.9015	
	13.3995 3.0536 2.0603	2.6264 0.6095 0.4710	
Crack 16%	Ea = 7.2992	Ea = 0.3670	600rpm
	Ed = 12.1625 29.7926 23.6622	Ed = 28.1670 37.0997 21.2007	
	16.3115 8.5724 2.1995	8.1167 4.3811 0.6679	
	Ea = 12.8710	Ea = 1.9613	1200rpm
	Ed = 12.8900 32.6105 23.9067	Ed = 28.7822 36.4418 20.3066	
	12.0031 3.8011 1.9177	8.0582 3.1456 1.3044	
	Ea = 25.6363	Ea = 0.4944	2400rpm
	Ed = 11.6085 28.6883 20.7118	Ed = 33.5584 44.2654 17.4796	
	9.8345 1.6398 1.8808	2.9462 0.7496 0.5066	
Crack 33%	Ea = 9.2147	Ea = 0.4454	600rpm
	Ed = 11.2746 26.1303 22.4714	Ed = 29.5364 37.3886 17.2337	
	15.8464 12.4215 2.6410	8.4573 6.1647 0.7738	
	Ea = 12.6210	Ea = 1.6281	1200rpm
	Ed = 12.1086 33.0525 24.2551	Ed = 31.3377 36.0611 19.3172	
	11.6056 4.5956 1.7616	7.3527 3.0807 1.2225	
	Ea = 21.0275	Ea = 0.5290	2400rpm
	Ed = 11.1743 29.4365 23.6531	Ed = 31.6633 45.2728 18.3519	
	10.1344 2.5192 2.0550	2.9206 0.7400 0.5223	
Crack 66%	Ea = 8.4105	Ea = 0.4073	600rpm
	Ed = 12.7789 28.2805 22.1689	Ed = 26.7722 39.2487 21.0220	
	16.4680 9.5656 2.3276	7.2478 4.6577 0.6444	
	Ea = 14.8772	Ea = 2.4103	1200rpm
	Ed = 11.9306 32.7154 21.7701	Ed = 27.3860 36.8452 19.1663	
	11.5244 4.7340 2.4484	8.3580 3.9476 1.8865	

Ea = 28.6831	Ea = 1.6446	2400rpm
Ed = 15.5365 20.0448 19.6481	Ed = 30.8186 43.2380 18.6814	
9.4085 2.7243 3.9547	3.2102 0.9662 1.4411	

With the increase of the speed from 600 rpm to 2400 rpm, the energy of the band corresponding to the defect in the shaft (crack) increases, due to the increase of the coefficients of the signal transformation at the frequency bands specific to the defect. Because the energy content in the frequency bands is a rough characteristic of the signal, it is not sensitive in the early stages of the crack in the shaft (see the 16% stage).

The energy characteristic of the crack frequency is influenced by factors such as shaft speed, location of measurement sensors, shaft status, which in turn will influence the quality of the analysis.

5. Discussions and conclusions

In the literature there are few recommendations for the selection of mother wavelet necessary for a more accurate analysis of phenomena. Signals with sharp changes are better analyzed using irregular wavelet functions, which are responsible for local analyse (Haar, Daubechies, Coiflet, Symlet, Biorthogonal). Of these, the optimal wavelet mother for signal analysis is the one with the highest energy at the highest decomposition level. The energy distributed on each frequency band of the signal, used as a criterion for choosing mother wavelet for the classification of cracks in rotating shafts also depends on the level of decomposition. Due to the largest energy variations in the detection of cracks in shaft, Daubechies, Coiflet, Symlet, Biorthogonal4.4 and Biorthogonal6.8 mother wavelet are the most efficient.

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